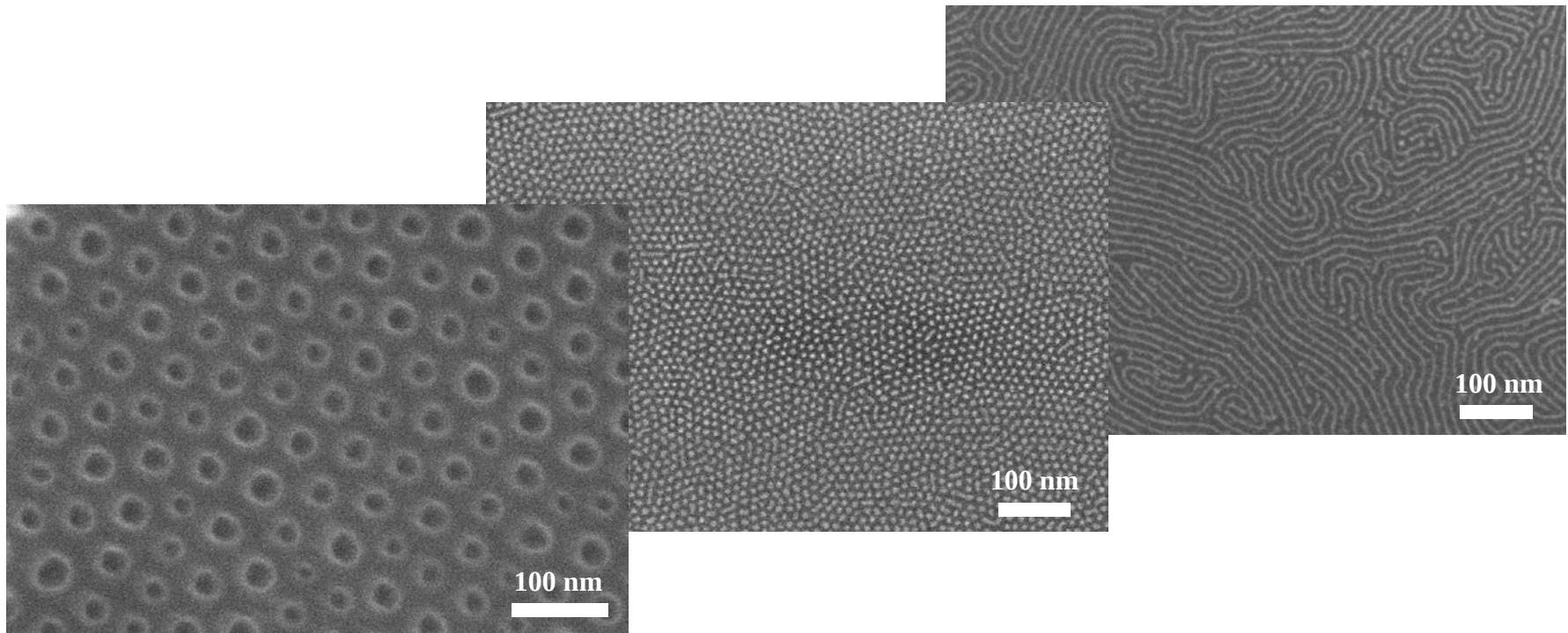




Block Copolymer Nanolithography (Basic)

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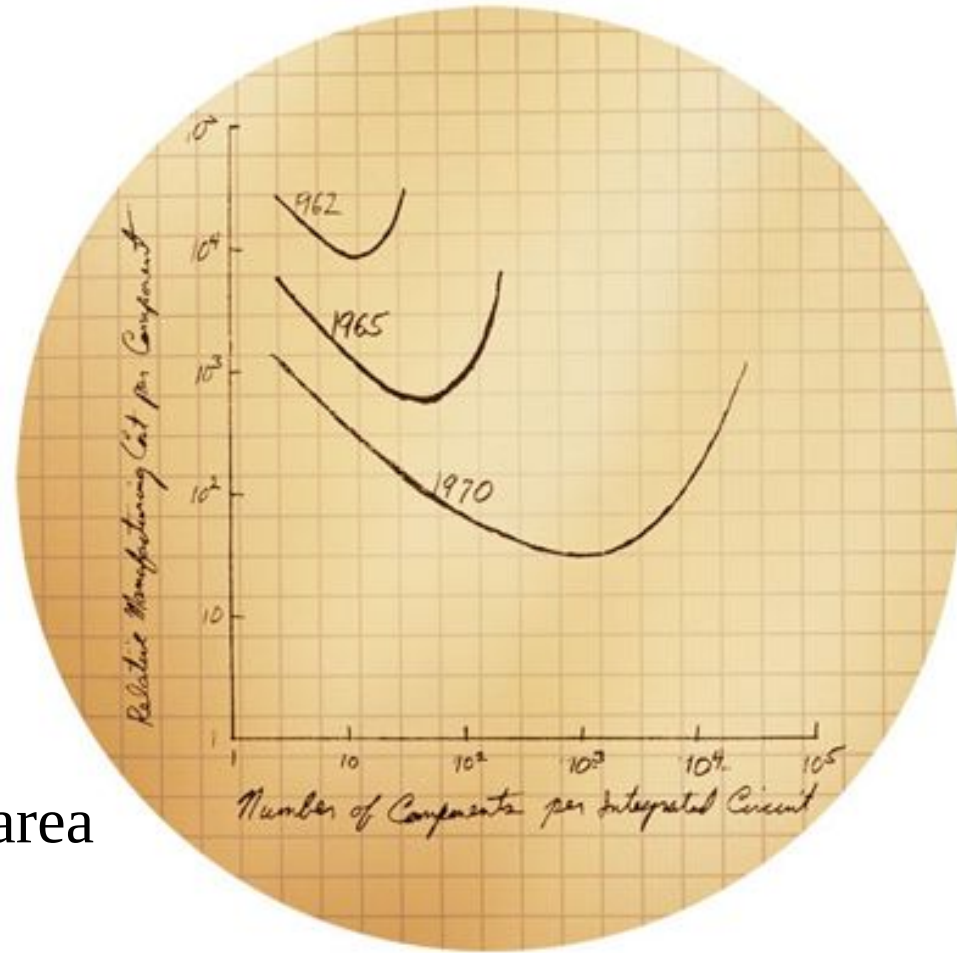


Outline

- Motivation
- Basic principle
 - Self-assembly
 - Annealing methods
 - Directed self-assembly
- Lithography
 - Surface reconstruction
 - Block modification
 - Selective infiltration synthesis
- Pattern transfer
- Applications
- Challenges

Motivation – Devices

- Device functionality
- Trend to downscale devices
 - Moore's law
- Downscale lithography
 - Pattern dimensions
 - Pattern pitch
- Trend to increase patterned area

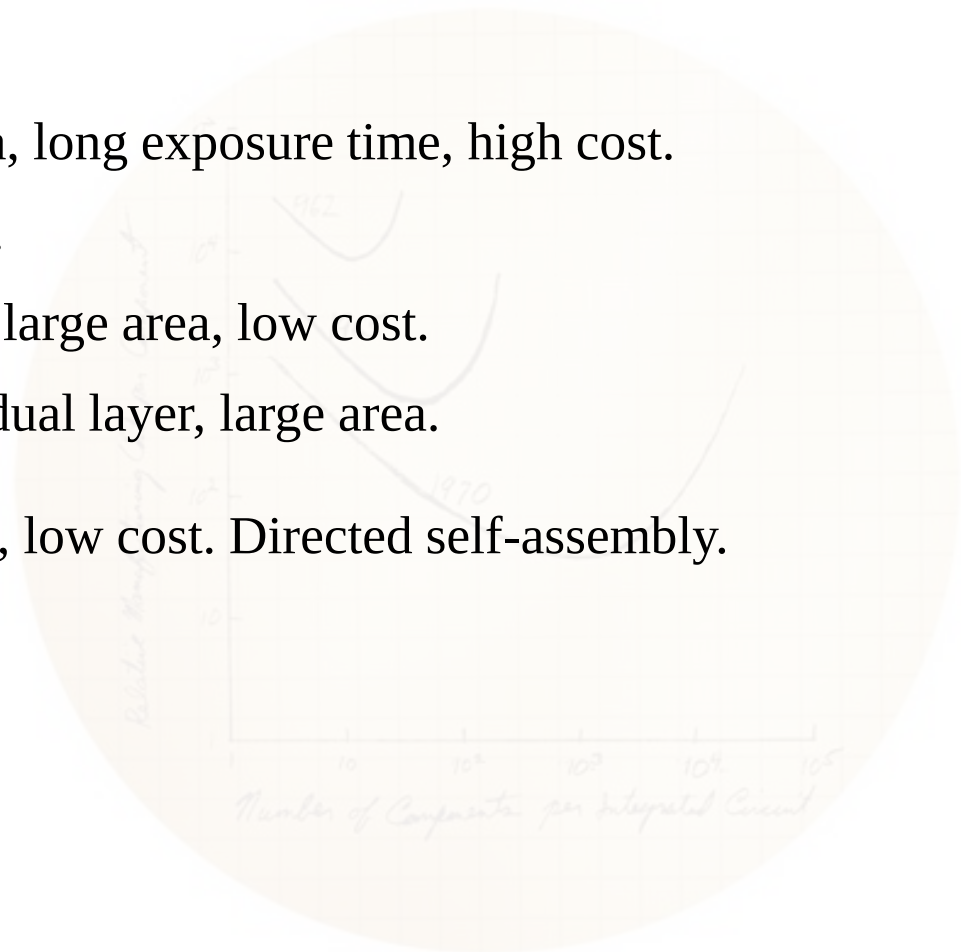


From Gordon Moore's 1964 notebook.
Moore, Gordon. "Cramming More Components onto Integrated Circuits",
Electronics Magazine Vol. 38, No. 8 (April 19, 1965).



Motivation – Lithography Techniques

- **EBL**: High definition, small area, long exposure time, high cost.
- **EUV**: High definition, high cost.
- **Classical UV**: Low definition, large area, low cost.
- **NIL**: Definition from mold, residual layer, large area.
- **BCP**: High definition, large area, low cost. Directed self-assembly.





Motivation – Block Copolymer

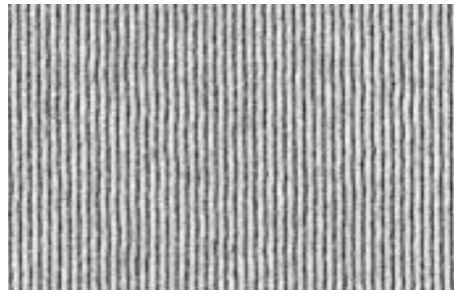
- Self-assembly of polymers.
- Typically 10-50 nm pattern period.
- Small pattern dimensions.

Typical applications use

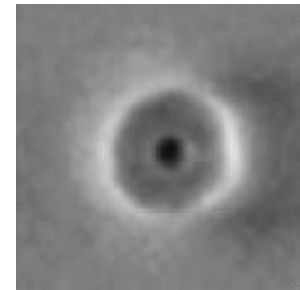
- High density hexagonal dot pattern
- High density line pattern
- Pattern shrink



Hellwig et al. (2010)



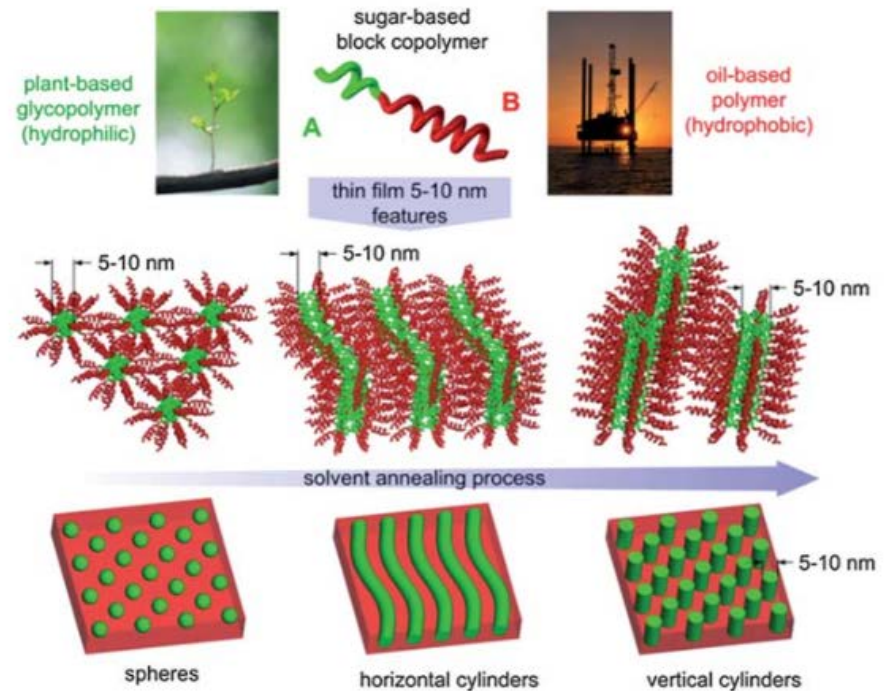
Kim et al. (2003)



Kato et al. (2013)

Basic Principle

- Diblock Copolymer: $A-b-B$
- The blocks A and B can be designed to repell each other
→ micro phase separation.
- Heat or solvent vapor
→ Increased mobility.
- By finding the state of most favourable energy
→ self-assembly.

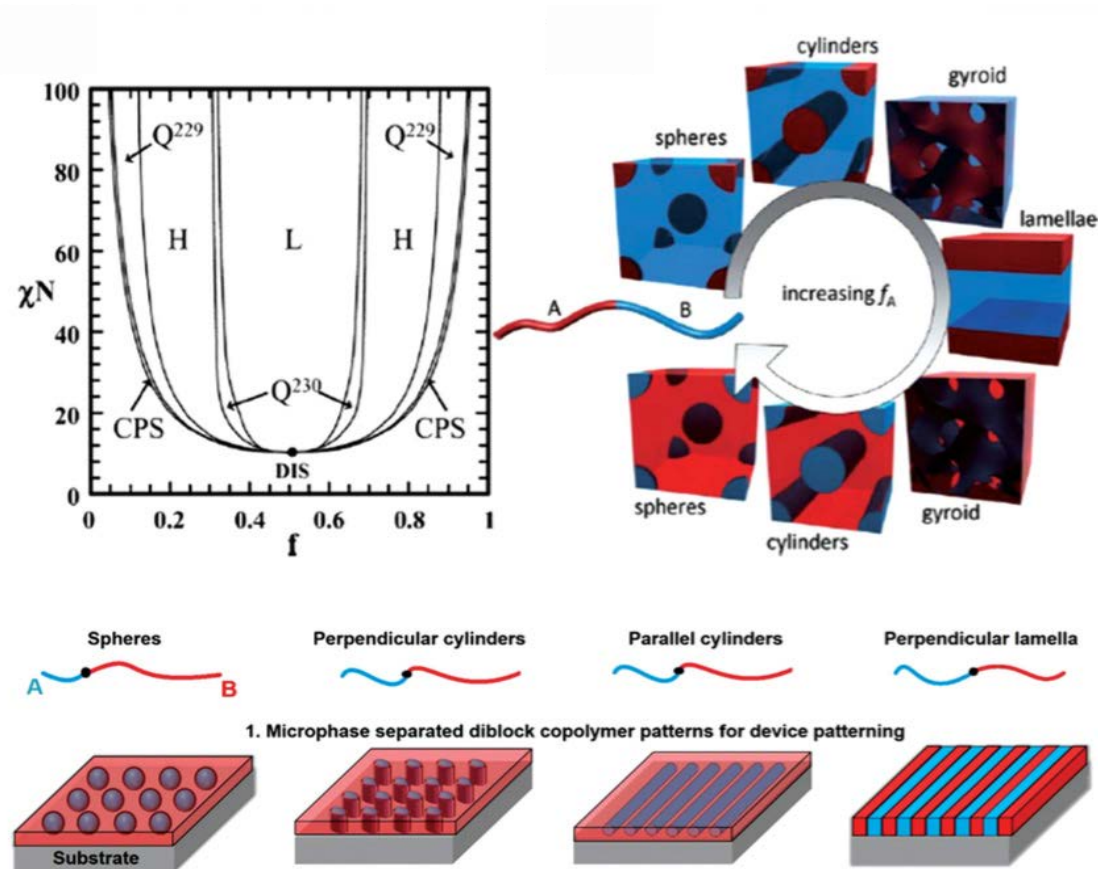


Borsali et al, Nanoscale, 2013, 5, 2637.

Basic Principle – Self-Assembly

- Relative volume f
- Interaction parameter χ
- Chain length N

Smaller pitch $\rightarrow$
Smaller N
Higher χ



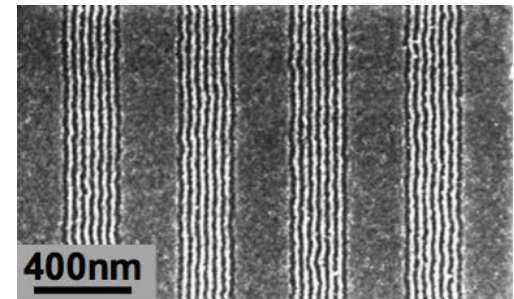
Morris et al., Adv. Mater. 28, 5586–618.



Basic Principle – Annealing Methods

Thermal Annealing

- Adding heat → Increased mobility → Self-Assembly.
- Above glass transition temperature T_g .
- Example: **PS-*b*-PMMA**
 - Poly(styrene)-*block*-Poly(methyl methacrylate)
 - T_g of 91-128°C and 38-122°C resp.
 - 230°C for 5 minutes in a high nitrogen flow.
 - Lund NanoLab: Rapid Thermal Processing (RTP) system, RTP-1200-100 from UniTemp GmbH (dmitry.suyatin@ftf.lth.se).



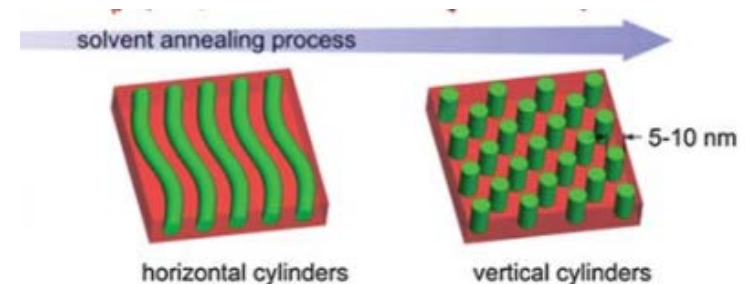
Basic Principle – Annealing Methods

Solvent Vapor Annealing

- Adding solvent → Increased mobility → Self-Assembly.
- Often mixture of solvents selective to each block.

- Example: **PS-*b*-MH**

- Poly(styrene)-*block*-Maltoheptaose
- Tetrahydrofuran and Water resp.
- Ratio 1:1 (w/w) for horizontal cylinders, 4:1 (w/w) for vertical.
- Sample in sealed container with solvent vapor mixture at RT for 1-24 h.
- Lund NanoLab: Solvent wet bench (maria.huffman@ftf.lth.se).



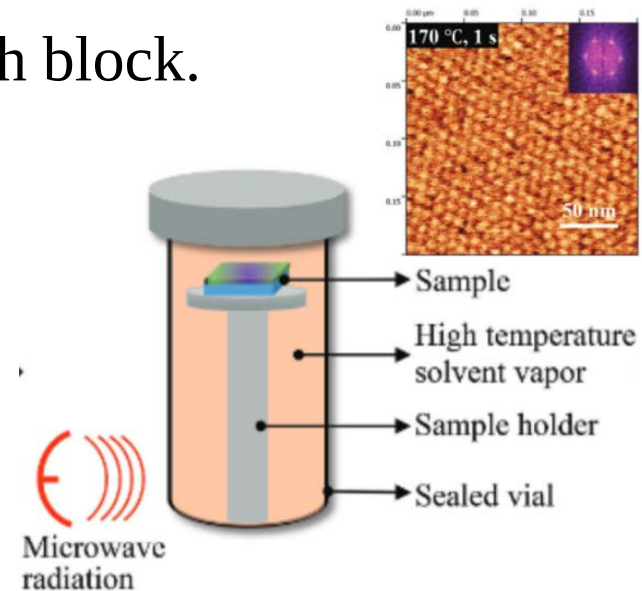
Basic Principle – Annealing Methods

Microwave Annealing

- Adding **heat and solvent** → Increased mobility → Self-Assembly.
- Often mixture of solvents selective to each block.

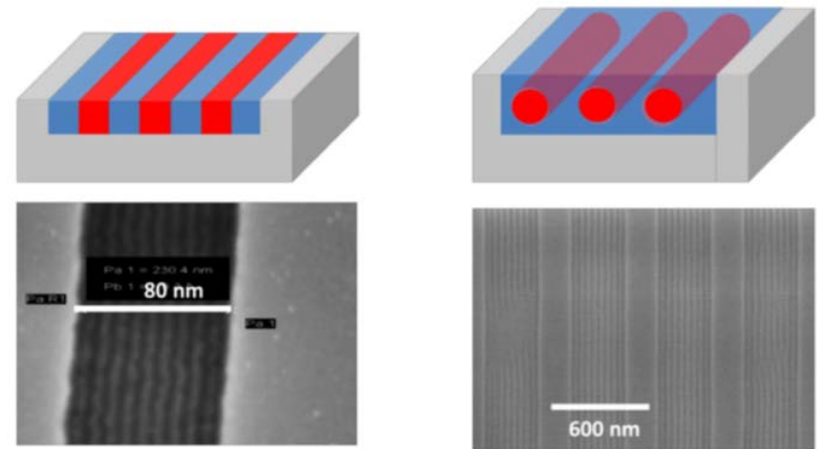
- Example: **PS-*b*-MH**

- Poly(styrene)-*block*-Maltoheptaose
- Tetrahydrofuran and Water resp.
- Ratio 3:1 (w/w) for vertical cylinders.
- Sample in sealed container in Microwave with solvent vapor mixture at 170°C for 1 s.



Basic Principle – Directed Self-Assembly Grapho-Epitaxy

- Directing the self-assembly
 - Increased long range order
 - Enables more advanced pattern designs.
- Edges have different surface energy than planar surface.
 - Attracts blocks unequally
 - Structures align along trenches
- Example: PS-*b*-PDMS on trenches.



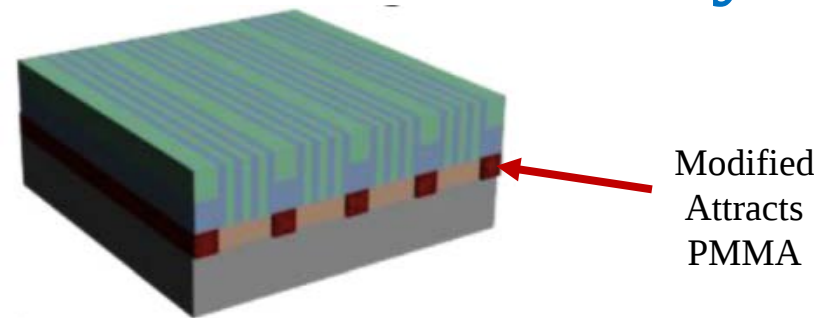
Morris, Microelectronic Engineering 132 (2015) 207–217
(Ndoni, DTU)

Basic Principle – Directed Self-Assembly Chemo-Epitaxy

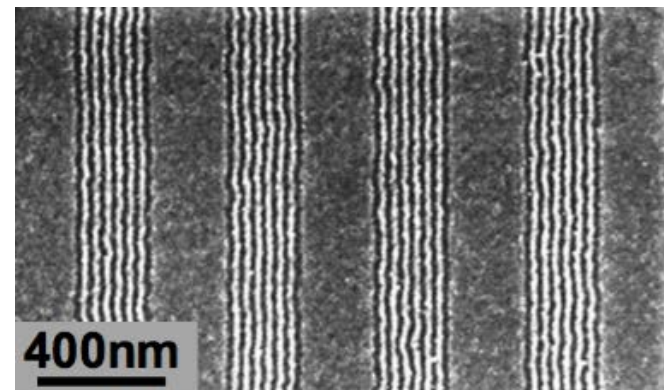
- Example: PS-b-PMMA on PS-OH brush.

- Non-modified brush layer
 - Attracts PS and PMMA equally
 - Vertical lamellae structure.

- Modified brush – more hydrophilic
 - Attracts PMMA
 - Horizontal lamellae structure.

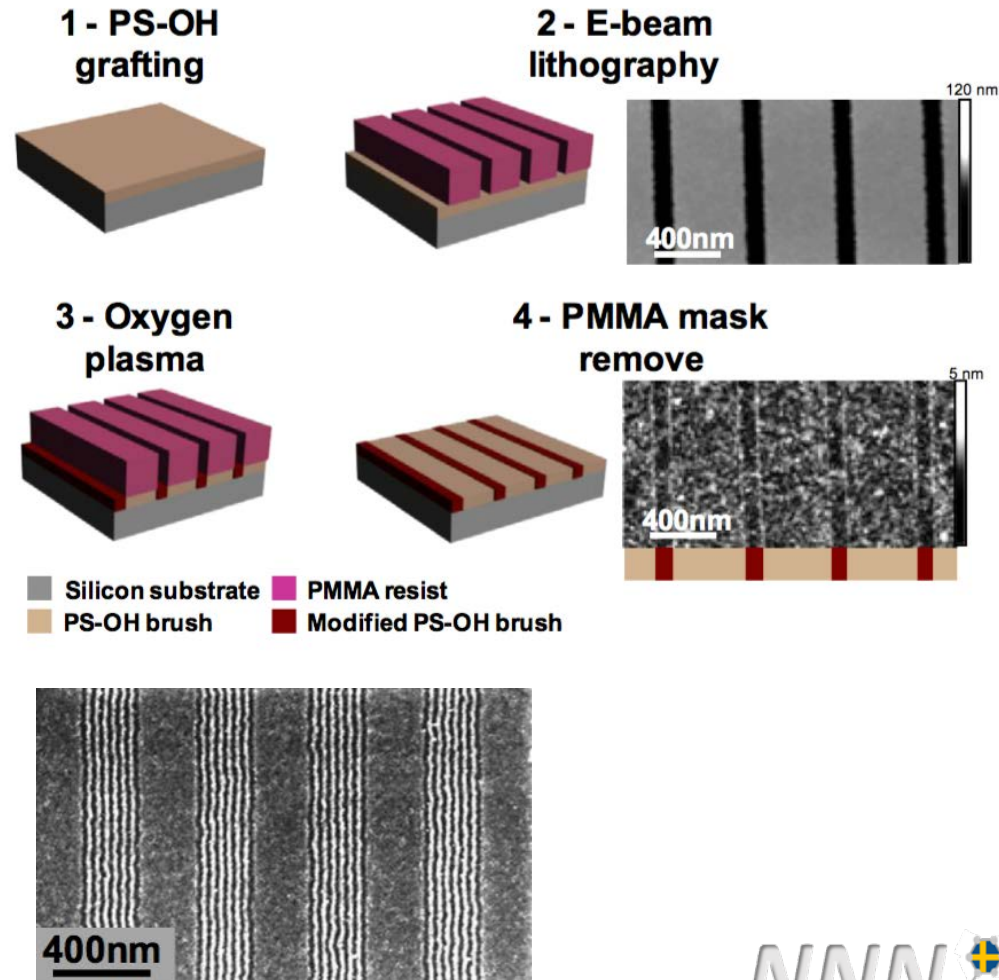


■ Silicon substrate
■ PS-OH brush
■ Modified PS-OH brush
■ PS domain
■ PMMA domain



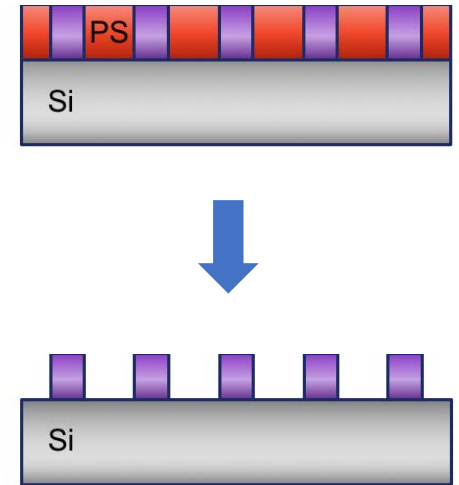
Basic Principle – Directed Self-Assembly Chemo-Epitaxy

- Grafting PS-OH brush layer.
- PMMA e-beam exposure and development of DSA pattern.
- Modifying open brush in O₂ plasma 150 W RIE.
- Removing PMMA.
- Spinning PS-*b*-PMMA.
- Thermal annealing.



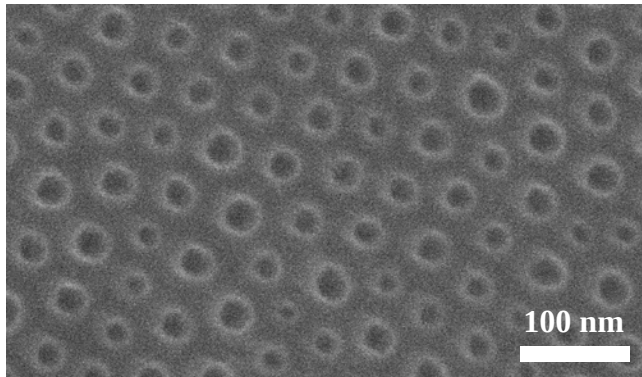
Lithography

- Removal or modification of one block
 - Pattern topography
 - Enables pattern transfer

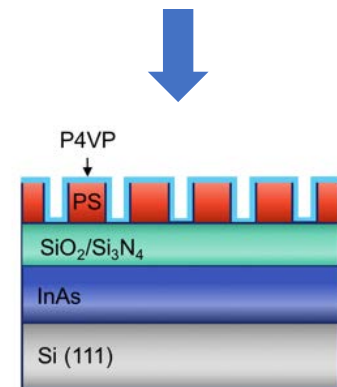
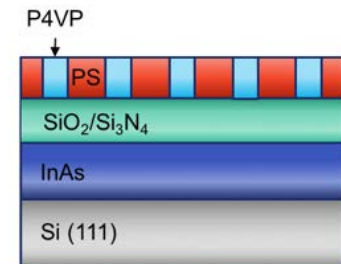


Lithography – Surface Reconstruction

- Preferential solvent for minority block
→ Extracts minority block to surface
→ Pore opening.
- Example: PS-*b*-P4VP in Ethanol.
 - Immersion 60 minutes in 60°C Ethanol.
 - P4VP extracted to the surface.



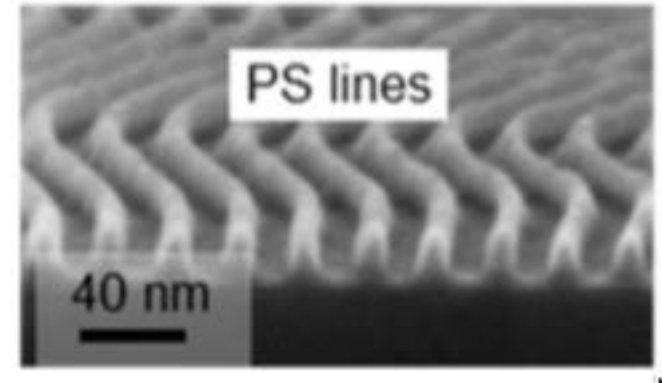
Löfstrand et al., unpublished



Lithography – Block Modification

Dry etch selectivity

- Dry etch selectivity between blocks
 - Etches one block faster
 - Pattern topography.
- Example: PS-*b*-PMMA in O₂ plasma RIE.
 - Poly(styrene)-*block*-Poly(methyl methacrylate)
 - 60 W, 2 mTorr, 20-25 s.
 - PMMA is removed, as it etches faster than PS.



Lithography – Block Modification

Increased Etch Selectivity

- Etch selectivity between blocks
 - Etches one block faster
 - Pattern topography.
- Example: PS-*b*-PMMA in O₂ plasma RIE.
 - UV exposure breaks bonds in PMMA
 - Higher etch rate
 - UV exposure creates bonds in PS
 - Lower etch rate

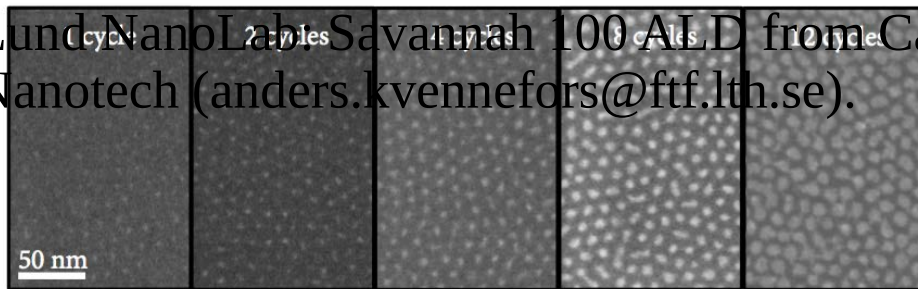
Lithography – Block modification

Selective Infiltration Synthesis

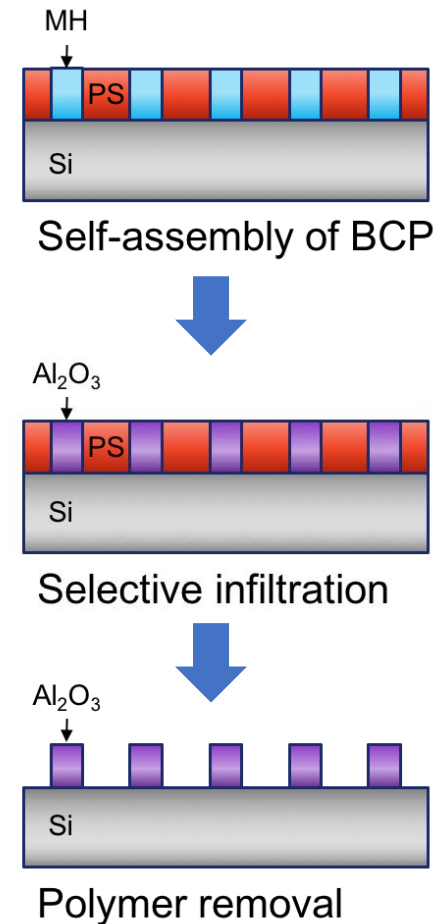
- Infiltration of Alumina selectively into one block
→ High etch selectivity
→ Pattern topography.

- Example: PS-*b*-MH in TMA and Water.
• ALD tool with cycling precursor pulses in N₂ flow.
→ Alumina in MH

- Lund NanoLab Savannah 100 ALD from Cambridge Nanotech (anders.kvennefors@ftf.lth.se).

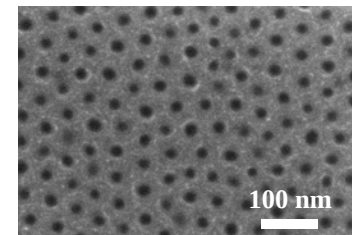
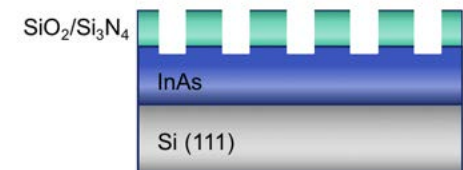
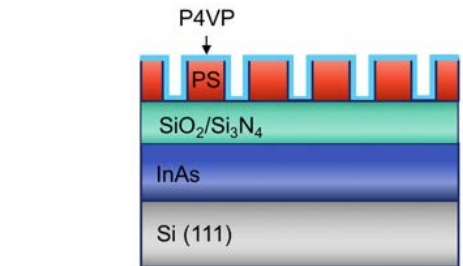


Löfstrand et al., unpublished



Pattern Transfer

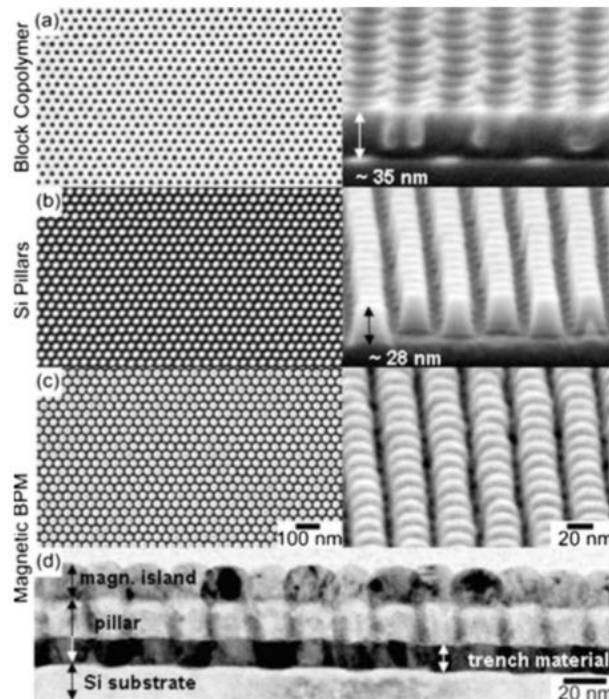
- BCP topography pattern
→ Polymer etch mask.
- Example: *PS- b -P4VP* on Silicon Nitride
 - Poly(styrene)-*block*-Poly(4-vinyl pyridine)
 - Surface reconstruction
 - Oxygen plasma ICP-RIE residual ashing.
 - $N_2:CHF_3$ plasma ICP-RIE Silicon Nitride etching.
 - Oxygen plasma ICP-RIE polymer stripping.
 - Lund NanoLab: Apex SLR ICP-RIE from Advanced Vacuum Systems AB (dmitry.suyatin@ftf.lth.se).



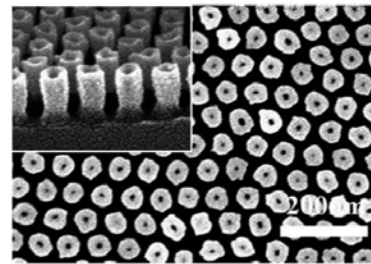
Löfstrand et al., unpublished

Applications

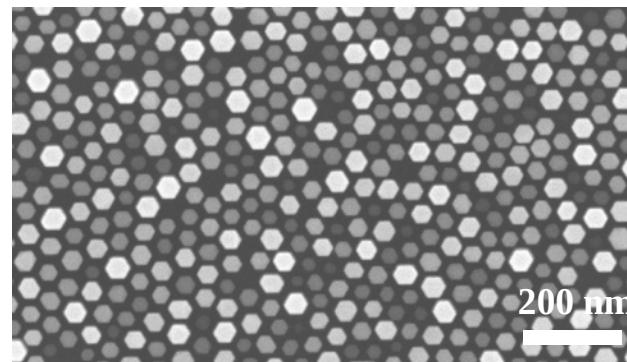
- High density hexagonal dot pattern
 - Sensors
 - Bit patterned media
 - Epitaxy templates



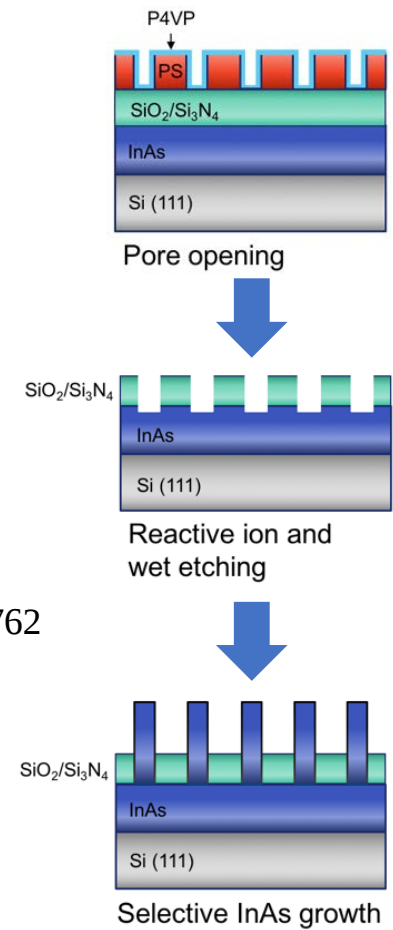
Hellwig et al., APPLIED PHYSICS LETTERS **96**, 052511 2010



Shin et al., RSC Adv., 2016, 6, 70756–70762

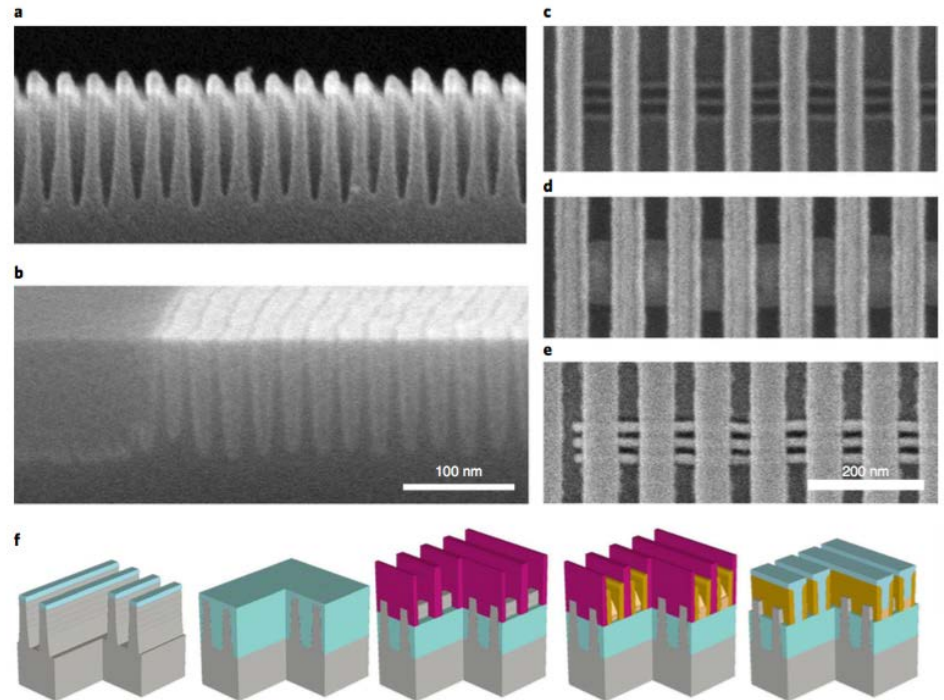


Löfstrand et al., unpublished



Applications

- High density line pattern
 - Wire grid polarizers
 - FinFETs



Liu *et al.*, *Nature Electronics*, vol. 1, no. 10, pp. 562-569, 2018

Applications

- Pattern shrink
 - Via holes

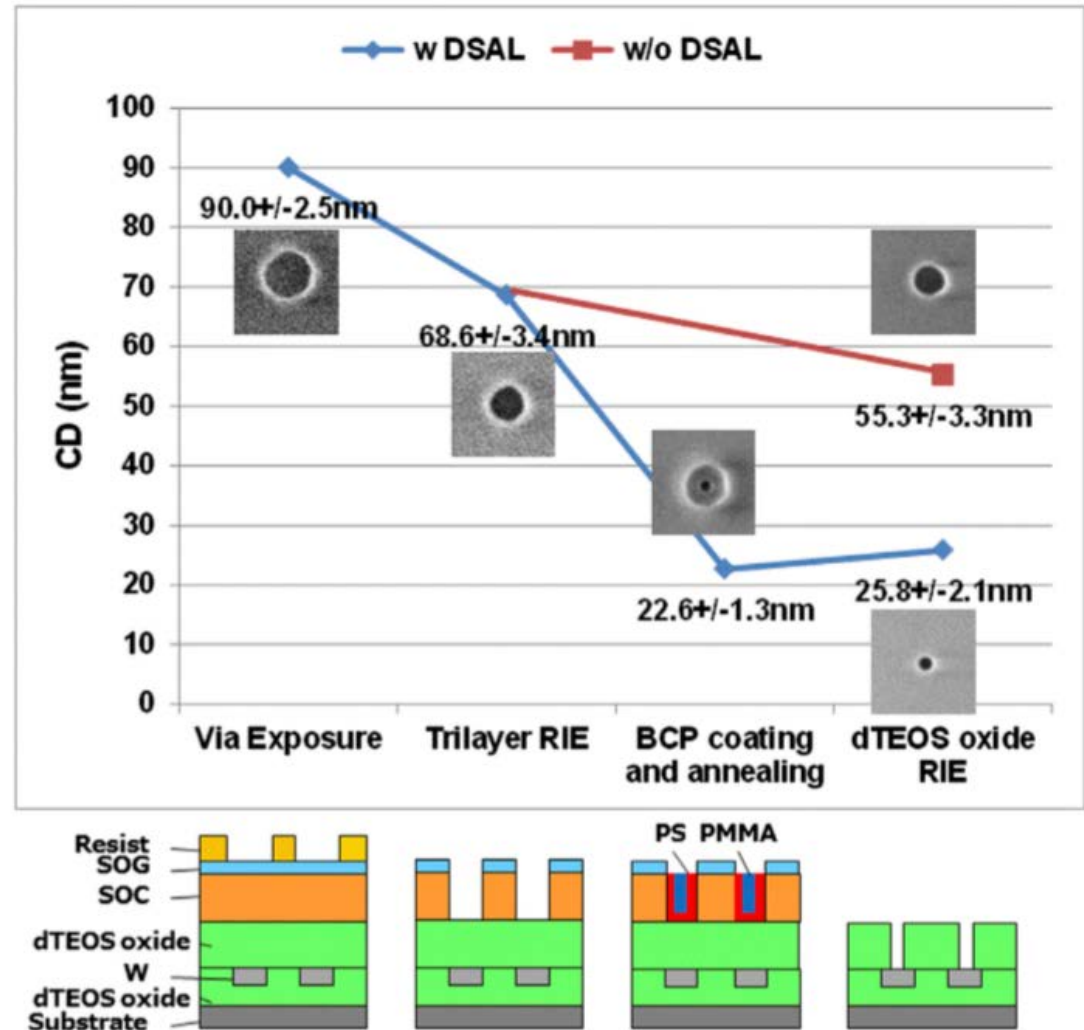
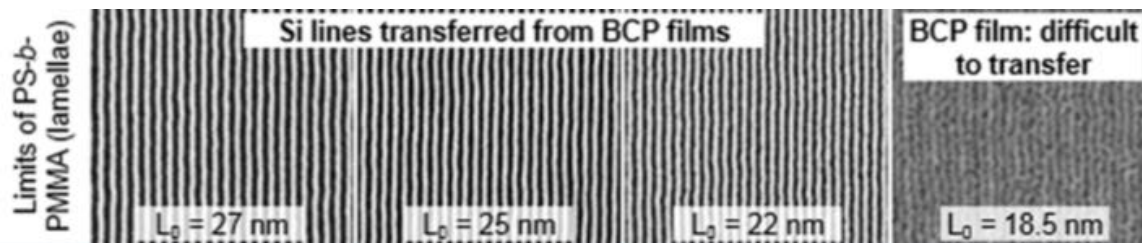


Fig. 2. CD and intra-wafer CD uniformity on each process step.

Kato et al., Microelectronic Engineering 110 (2013) 152–155

Challenges

- Defectivity
 - Line Edge Roughness
 - Polymer quality
 - Pattern defects
- Pattern Design
 - Local patterning
 - Surface energy sensitivity
- Sub-10 nm Pattern Transfer
 - Interface length between blocks





Equipment

- RTP
 - Lund NanoLab: Rapid Thermal Processing (RTP) system, RTP-1200-100 from UniTemp GmbH (dmitry.suyatin@ftf.lth.se).
 - Chalmers: Rapid Thermal Processor (RTP) JIPELEC Jet First 200 (mats.hagberg@chalmers.se).
Electrum: RTA, Mattson 100 RTP Systems (pereh@kth.se).
 - NTNU: RTP, Jetfirst 200 mm (Svenn Ove Linde).



Equipment

- ALD
 - Lund NanoLab: Savannah 100 ALD from Cambridge Nanotech (anders.kvennefors@ftf.lth.se).
 - Chalmers: Oxford FlexAl (karin.hedsten@chalmers.se).
 - Electrum: ALD, BENEQ TFS 200 (ybw@kth.se).
 - Ångström: Picosun R200 (carl.hagglund@angstrom.uu.se).
 - Micronova: ALD reactor ALD-2, Beneq TFS-500 (Ville Vähänissi).
 - NTNU: ALD Savannah S200, Veeco (Mark Chiappa).
 - UiO: ALD, Beneq TFS 200 (Kristin Bergum).



Equipment

- ICP-RIE
 - Lund NanoLab: Apex SLR ICP-RIE from Advanced Vacuum Systems AB (dmitry.suyatin@ftf.lth.se).
 - Chalmers: PlasmaPro 100 Cobra ICP 180 from Oxford Instruments (marcus.rommel@chalmers.se).
 - Electrum: Cryo RIE Albanova, Oxford Plasmalab 100 (anders@biox.kth.se).
 - Micronova: Oxford Instruments Plasmalab System100 - ICP 180 (Toni Pasanen).
 - NTNU: ICP-RIE Cryo, PlasmaLab System 100-ICP180 (Svenn Ove Linde).



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**Thank you
for your attention!**

